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**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Ashkenazi et al. Docket No.: 39780-2730P1C1  
Serial No.: 09/941,992 Group Art Unit: 1646  
Filing Date: August 28, 2001 Examiner: Kemmerer, Elizabeth  
For: **SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME**

1646\$  
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OCT 31 2003  
TECH CENTER 1600/2900

MS: No-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

- ☒ Transmitted herewith are the following documents for the above-referenced application:
- ☒ Transmittal;
  - ☒ Amendment/Response to Office Action;
  - ☒ Declaration of Avi Ashkenazi, Ph.D.; ✓
  - ☒ Declaration of Audrey D. Goddard, Ph.D.;
  - ☒ Supplemental IDS/1449 with one (1) cited reference;
  - ☒ Copy of date-stamped return postcard at time of filing;
  - ☒ Copy of pages 303-306 of specification;
  - ☒ Authorization to charge Deposit Account No. 08-1641 in the amount of \$180 for Supplemental IDS/1449 filing fee.

**STATUS**

- ☒ Applicant is
- ☐ a small entity
  - ☒ other than a small entity.

**EXTENSION OF TIME**

- ☐ Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 410.00	\$205.00
<input type="checkbox"/>	three months	\$ 930.00	\$465.00
<input type="checkbox"/>	four months	\$1,445.00	\$725.00

Fee \$ \_\_\_\_\_

**CERTIFICATE OF MAILING (37 CFR 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Mail Stop: No-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: October 24, 2003

*Cheryl Rogers*  
Cheryl Ann Rogers

## FEE FOR CLAIMS

- ☐ If an additional extension of time is required please consider this a petition therefor.
- ☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$\_\_\_\_\_

- ☐ The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		<i>OR</i>	OTHER THAN A SMALL ENTITY	
Claims Remaining After Amendment		Highest No. Previously Paid For		Present Extra	Rate	Addit. Fee		Rate	Addit. Fee
Total *	Minus *0*	20	=	0	x9=	\$		x18=	\$
Indep. *	Minus *0*	3	=		x40=	\$		x80=	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+130=	\$		x260=	\$
					TOTAL ADDIT.FEE	\$	<i>OR</i>	TOTAL ADDIT. FEE	\$

- ☒ No additional fee for claims required.
- ☐ Total additional fee for claims required \$\_\_\_\_\_.

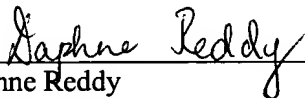
## FEE PAYMENT

- ☐ Attached is a check in the sum of \$\_\_\_\_\_ for additional claims fee.
- ☒ Charge Account No. 08-1641 the sum of \$180.00 for Supplemental IDS/PTO Form 1449 filing fee.

## FEE DEFICIENCY

- ☒ In the event that: a) no check to cover the filing fee is enclosed, b) any above-referenced check is inadvertently omitted or lost, or c) any enclosed check is in an amount less than or greater than the required fee, the Commissioner is authorized to charge any required fees, additional fees, or credit any overpayment to Deposit Account 08-1641.
- ☒ Attached is a postcard for date-stamped return as confirmation of receipt of these materials.

Date: October 24, 2003

  
 Daphne Reddy  
 Reg. No. 53,507

**HELLER EHRMAN WHITE & McAULIFFE LLP**  
**Customer No. 35489**  
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 Menlo Park, CA 94025  
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**NEW CONTINUATION PATENT APPLICATION****In Re Application f:**

Applicant: Avi Ashkenazi, et al.

Docket No.: P2730P1C1

Attorney of Record: Elizabeth M. Barnes, Ph.D. Reg. No.: 35,059

Express Mail No.: EL 895 375 436 US

Mailed on: August 28, 2000

**ICATION**

et No.: P2730P1C1

Reg. No.: 35,059

ENTERED  
9/25

The following has been received and is hereby acknowledged by the U.S. Patent Office:

- Non-provisional Application Transmittal under 37 CFR 1.53(b);
- Filing fee of \$5,994.00 (authorized to charge to Dep. Acct. 07-0630);
- (unsigned) Declaration and Power of Attorney for Patent Application;
- Certificate re: Sequence Listing;
- paper and electronic copy of Sequence Listing;
- 566 pages of specification, 25 pages of claims, 1 page Abstract;
- 330 sheets of formal drawings; and
- Post card.

acknowledged by

37 CFR 1.53(b);  
Dep. Acct. 07-0630;  
for Patent Application;

1 page Abstract;

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FROM: (PLEASE PRINT) GENENTECH, INC. 1 DNA WAY LEGAL DEPARTMENT SOUTH SAN FRANCISCO CA 94080-4990		TO: (PLEASE PRINT) GENENTECH, INC. LEGAL DEPT.			
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